N

Know Your Client (KYC) Application Form (For Non-Individuals Only)

Application No. :

Please fill in ENGLISH and in BLOCK LETTERS (All Information as applicable in Sections A, B and C below is mandatory)

The information is sought under Prevention of Money Laundering Act, 2002, the rules notified thereunder and SEBI's guidelines on Anti Money Laundering.

For existing Mutual Fund investors, the address(es) furnished herein will be replaced in the records of the Mutual Fund / Authorised Agent. (Refer Notes / Guidelines overleaf)

A. Identity Details (Please see guidelines A1 to A4 overleaf)

1. Name of Applicant (Please write complete name as per Certificate of Incorporation / Registration; leaving one box blank between 2 words. Please do not abbreviate the Name).

2. Date of Incorporation / Registration D D / M M / Y Y Y Y

Whether Listed on NSE ☐ YES ☐ NO Scrip Symbol

Registration Number issued by Registering Authority

3i. Status Please tick (✓) ☐ Private Ltd. Co. ☐ Public Ltd. Co. ☐ Body Corporate ☐ Partnership ☐ Trust / Charities / NGOs ☐ FI ☐ FII ☐ HUF ☐ AOP
☐ Bank ☐ Government Body ☐ Non-Government Organisation ☐ Defence Establishment ☐ Body of Individuals ☐ Society ☐ LLP ☐ Others (Please specify)

3ii. a. Is your company having close family ownership or beneficial ownership? ☐ YES ☐ NO

b. Is the entity involved / providing any of the following services ☐ YES ☐ NO
– Foreign Exchange / Money Changer Services ☐ YES ☐ NO – Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) ☐ YES ☐ NO
– Money Lending / Pawning ☐ YES ☐ NO

c. Please indicate if any of your authorised signatories / trustees / office bearers are / were PEPs at any time.
☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) For definition of PEP, please refer guideline C2 overleaf

4. Permanent Account Number (PAN) (MANDATORY) ☐ Copy of PAN Card attached

B. Address Details (Please see guidelines B1 to B3 overleaf)

1. Address for Correspondence

City / Town / Village Postal Code

State Country

2. Contact Details

Contact Person Mr. / Ms.

Tel. (Off.) (ISD) (STD) Tel. (Res.) (ISD) (STD)

Mobile (ISD) (STD) Fax (ISD) (STD)

E-Mail Id.

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.
☐ Latest Land Line Telephone Bill ☐ Latest Electricity Bill ☐ Latest Bank Account Statement ☐ Latest Demat Account statement ☐ Registered Lease / Sale Agreement of Office Premises
☐ Registration Certificate issued under Shops and Establishments Act ☐ Any other proof of address document (as listed overleaf) (Please specify)

4. Permanent / Registered Address of Applicant (in case of Overseas Address, Proof of Address is a must) - Mandatory for FIIs

City / Town / Village Postal Code

State Country

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.
☐ Latest Land Line Telephone Bill ☐ Latest Electricity Bill ☐ Latest Bank Account Statement ☐ Latest Demat Account statement ☐ Registered Lease / Sale Agreement of Office Premises
☐ Registration Certificate issued under Shops and Establishments Act ☐ Any other proof of address document (as listed overleaf) (Please specify)

C. Other Details (Please see guidelines C1 and C2 overleaf)

1. Gross Annual Income Details Please tick (✓)
☐ Upto Rs. 50,00,000 ☐ Rs. 50,00,001 to Rs. 2,50,00,000 ☐ Rs. 2,50,00,001 to Rs. 10,00,00,000 ☐ Rs. 10,00,00,001 to Rs. 50,00,00,000 ☐ Rs. 50,00,00,001 and above.

2. Mandatory Certified Documents to be submitted, as applicable (Please tick (✓) against documents attached)

i.	Hindu Undivided Family (HUF)	☐ Deed of Declaration ☐ Latest Bank Passbook / Latest Bank account statement
ii.	Company / Body Corporates	☐ Certificate of Incorporation ☐ Memorandum & Articles of Association ☐ Resolution of the Board of Directors ☐ Authorised Signatory List with specimen signatures
iii.	Partnership firms	☐ Certificate of registration ☐ Partnership deed ☐ Documents evidencing authority to invest ☐ Authorised Signatory List with specimen signatures
iv.	Trusts, foundations, NGOs, Charitable Bodies, Clubs / Mutual Fund Schemes	☐ Certificate of registration ☐ Trust deed ☐ Authorised Signatory List with specimen signatures
v.	Unincorporated association or a body of individuals	☐ Proof of Existence / Constitution Document ☐ Documents evidencing authority to invest ☐ Authorised Signatory list with specimen signature
vi.	Foreign Institutional Investors (FIIs)	☐ Letter and Certificate of Registration issued by SEBI ☐ Authorised Signatory list with specimen signature
vii.	Scheduled Commercial Banks and Registered Financial Institutions not incorporated under the Companies Act, 1956 / Regulatory Bodies / Army / Government Bodies / Any other bodies created / incorporated / registered under state or central legislation being eligible to invest in Mutual Funds	☐ Copy of Constitution / registration documents ☐ Documents evidencing authority to invest ☐ List of authorized signatories
viii.	Limited Liability Partnership	☐ LLP Agreement ☐ Certificate of Incorporation by RoC

DECLARATION

We hereby confirm that we have read and understood the Instructions mentioned overleaf and apply to CDSL Ventures Limited ('CVL') or other agent of the mutual fund registered under the SEBI (Mutual Funds) Regulations, 1996 for compliance of Know Your Client (KYC) procedure for transacting in units issued by Mutual Funds and we agree to abide by the terms, conditions, rules, regulations and other statutory requirements applicable to the respective Mutual Funds. We hereby declare that the particulars given herein are true, correct and complete to the best of our knowledge and belief, the documents submitted along with this application are genuine and we are not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any Notifications, Directions issued by any governmental or statutory authority from time to time. We hereby undertake to promptly inform CVL / the mutual fund agent of any changes to the information provided hereinabove and agree and accept that CVL, the respective Mutual Funds, their authorised agents and representatives ('the Authorised Parties') are not liable or responsible for any losses, costs, damages arising out of any actions undertaken or activities performed by them on the basis of the information provided by us as also due to us not intimating / delay in intimating such changes. We hereby authorize CVL / the mutual fund agent to disclose, share, remit in any form, mode or manner, all / any of the information provided by us to the respective Mutual Funds in which we may transact / have transacted and / or to their authorised agents and representatives including all changes, updates to such information as and when provided by us. We hereby agree to provide any additional information / documentation that may be required by the Authorised Parties, in connection with this application.

SIGNATURE(S) OF AUTHORISED PERSON(S)

Place :

Date :

For Office Use Only

Stamp of POS (Name & Location) & Receiver's Signature

Name and Employee Number of Receiver

☐ (Originals Verified) Self Certified Document copies received

☐ (Attested) True copies of documents received

KYC Ref.

"Please note that the KYC Application Form and overleaf instructions should be printed on the same page (back to back). If printed separately then both the pages should be attached and signed by the applicant."